



Attorney Docket No. P01-3686
Client/Matter No. 68854.0187
Express Mail Label No. EV035493235US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Stephen J. Sicola, Bruce Sardeson, Dennis Spicher, Bruce Roberts, Bill Pagano, and Allen B. Kelton

Serial No. 10/004,374

Filed: October 19, 2001

For: SYSTEM AND METHOD FOR LOCATING A FAILED
STORAGE DEVICE IN A DATA STORAGE SYSTEM

Art Unit: 2184

Examiner:

Confirmation No.: 7277

RECEIVED

OCT 22 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2100

Sir:

The Applicant has recently become aware of the additional references noted on the enclosed form PTO/SB/08A and submits these additional references for the Examiner's consideration pursuant to the Applicant's duty of disclosure under 37 C.F.R. 1.97(b)(3). Because an office action has not yet been mailed in this case, no fee is believed required. However, authorization is hereby granted to credit any overpayment or debit any underpayment of any fee required pursuant to this First Supplemental Information Disclosure Statement to Deposit Account No. 50-1123.

Submission of the instant Supplemental Information Disclosure Statement is not a representation that a search has been made or that the cited information is, or is considered to be, material to patentability of the above application. Copies of the references listed on the enclosed form are attached.

Dated 16 October, 2002

Respectfully submitted,

William J. Kubida, Reg. No. 29,664

HOGAN & HARTSON
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(719) 448-5909 Tel
(303) 899-7333 Fax

PTO/SB/08A (10/01)
(Substitute for form 1449A/PTO)

ATTY. DOCKET NO. P01-3686
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APPLICATION NO.
10/004,374

O I P E
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

OCT 18 2002

(Use several sheets if necessary)

Sheet 1 of 1

FIRST NAMED INVENTOR

Stephen J. Sicola, Bruce Sardeson, Dennis Spicher, Bruce Roberts, Bill Pagano, and Allen B. Kelton

FILING DATE
October 19, 2001

ART UNIT
2184

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document No. No. – Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
BPB		6,188,973 B1	3/29/99	Martinez et al.	
		6,237,112 B1	6/26/98	Yoo et al.	
		6,243,790 B1	3/25/97	Yorimitsu	
		5,835,700	8/16/96	Carboneau et al.	
		6,266,721 B1	10/1/97	Sheikh et al.	OCT 22 2002
		5,109,318	5/7/90	Funari et al.	Technology Center 2100
		5,815,371	9/26/96	Jeffries et al.	
		5,184,281	3/3/92	Samarov et al.	
		6,278,609	6/27/97	Suzuki et al.	
		6,282,096	4/28/00	Lo et al.	
		6,282,094	4/10/00	Lo et al.	
		US-5,996,089	11/30/99	Mann et al.	
		US-5,832,222	11/03/98	Dziadosz et al.	
D		US-6,148,414	11/14/00	Brown et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Doc Cntry code – No. – Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	TRANSLATION
						YES NO

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published
BPB		SMART STORAGE INC., "SmartStor InfiNet™: Virtual Storage for Today's E-economy," September 2000.
D		COMPAQ COMPUTER CORPORATION, "The Compaq Enterprise Network Storage Architecture: An Overview," May 2000.
BPB		COMPAQ COMPUTER CORPORATION, "Compaq Storage Works" Data Replication Manager HSG80 ACS V8.5P Operations Guide, March 2000.

EXAMINER SIGNATURE

Byre P. Boys

DATE CONSIDERED

7/23/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.